

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement accompanies the new patent application submitted herewith of the following Inventors: Jonathan L. Gross; Gary P. Stack

For: Antidepressant Azaheterocyclymethyl Derivatives of 2,3-Dihydro-1,4-Dioxino[2,3-f]Quinoxaline.

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

2. ☒ Previously Cited Information

No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior application is Application Number 10/128,722 filed on April 23, 2002 of for Antidepressant Azaheterocyclymethyl Derivatives of 2,3-Dihydro-1,4-Dioxino[2,3-f]Quinoxaline.


**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU947815365US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

7-14-03  
Date

John A. Barker  
John R. Barker

3. ☒ Newly Cited Information  
A legible copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed, except that no copy of a pending U.S. application is enclosed.
4. ☐ Concise Explanation  
Documents cited above which are not in the English Language  
a. ☐ have been explained in the specification.  
b. ☐ have an abstract (or other concise explanation) in English enclosed or, if readily available, a translation into English of the document is enclosed.
5. Form PTO-1449 is enclosed in duplicate.

  
Rebecca R. Barrett  
July 14, 2003  
Reg. No. 35,152

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	Not yet assigned	
			Filing Date	July 14, 2003	
			First Named Inventor	Jonathan L. Gross	
			Group Art Unit	1624	
			Examiner Name	E. Bernhardt	
Sheet	1	of	1	Attorney Docket Number	AM100201 P1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number (If known)	Kind Code (If Known)			
	1.	5,318,988		Rudolf Schohe-Loop et al.	06-07-1994	
	2.	5,371,094		Hans-Georg Heine et al.	12-06-1994	
	3.	5,741,789		David J. Hibschan et al.	04-21-1998	
	4.	5,756,532		Gary P. Stack et al.	05-26-1998	
	5.	5,869,490		Gary P. Stack	02-09-1999	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (If Known)				
	6.	WO	91/13872		Michael D. Ennis et al.	09-19-1991		<input type="checkbox"/>
	7.	WO	97/23485		Alan M. Birch et al.	07-03-1997		<input type="checkbox"/>
	8.	EP	0 771 800	A2	Gary P. Stack et al.	05-07-1997		<input type="checkbox"/>

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.		T
	9.	Robichaud et al., Annual Reports in Med. Chem., 2000, 11-20, 35		<input type="checkbox"/>
	10.	Wyatt et al., Abstract for Cochrane Database of Systemic Reviews, 2002, CD001396		<input type="checkbox"/>
	11.	Martenyi et al., Abstract for J. Clin. Psychiatry, March 2002, 199-206, 63(3)		<input type="checkbox"/>
	12.	Rushton et al., Abstract for Pediatrics, June 2000, p. E82, 105(6)		<input type="checkbox"/>
	13.	Sundstrom-Poromaa et al., Abstract for J. Psychosom. Obstet. Gynaecol, Dec. 2000, 205-211, 21		<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.